ATTORNEY DOCKET NO.: 040894-5701





Washington, D.C. 20231

TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventors: Hitoshi OGATSU, Ryosuke HIGASHIKATA, Makoto SASAKI, Yoshiharu HIBI, Tetsushi ANABUKI and Hiroaki IKEGAMI

COLOR CONVERSION COEFFICIENT PREPARATION APPARATUS, For: COLOR CONVERSION COEFFICIENT PREPARATION METHOD, STORAGE MEDIUM, AND COLOR CONVERSION SYSTEM

- 1. This is a new [X] Utility []Design [] Plant patent application.
- 2. The papers enclosed to obtain a filing date are as follows:

| 140 | Pages | s of Specification including |
|-----|-------|------------------------------|
| 0 | Title | Page |
| | | 0.01. |

Pages of Claims 38 Page of Abstract

Sheets of drawings containing 25 figures 19

[] The enclosed drawings are photographs, and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)

- 3. Combined Declaration and Power of Attorney
 - Enclosed and is executed by all inventors [X]
 - Not Enclosed. [] This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.





| 4. | Language |
|----|----------|
|----|----------|

- [X] English
- [] Non-English
 This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01
 of the MPEP. Applicant(s) await notification from the Patent and Trademark
 Office of the time set for filing the verified English translation and the processing

fee.

- 5. Assignment
 - An assignment of the invention to <u>FUJI XEROX CO., LTD.</u> and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.
 - [] An assignment will be filed at a later date.
- 6. Priority foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

[X] Priority of the following foreign application is claimed:

| Country | Application No. | Filed |
|---------|-----------------|-------------------|
| Japan | 2000-261953 | August 30, 2000 |
| Japan | 2000-263985 | August 31, 2000 |
| Japan | 2001-050720 | February 26, 2001 |
| Japan | 2001-051110 | February 26, 2001 |

Certified copy: [X] is attached. [] will follow.

7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

Priority of the following provisional application(s) is claimed:

| Application No. | Filed |
|-----------------|-------|
| | |
| | |

| Α. | Relate | Back - | - 35 | 0.5. | U. Ş | } 1. | 19(| (e) | Ì |
|----|--------|--------|------|------|------|-------------|-----|-----|---|
|----|--------|--------|------|------|------|-------------|-----|-----|---|

| [] | Amend the specification | on by inserting before the first line the sentence: |
|----|-------------------------|---|
| | "This application clair | ns priority of copending provisional application(s) |
| | No filed on | ", · |

8. Small entity status

[] Small entity status under 37 C.F.R. §1.27 is asserted for the present application.

9. Fee Calculation (37 C.F.R. §1.16)

| | Number Filed | Number Extra | at Rate of | Basic Fee Utility \$710.00 Design \$320.00 |
|--|--------------------|-------------------------|-----------------------|--|
| | | | Filing Fee | \$710.00 |
| Total Claims (37 C.F.R. §1.16(c)) | 54 - 20 = | 34 | \$ 8.00 | \$ 612.00 |
| Independent Claims (37 C.F.R. §1.16(b)) | 15 - 3 = | 12 | 80.00 | \$ 960.00 |
| Multiple dependent claim | | R. §1.16(d)) | 270.00 | \$ 0.00 |
| | • | | SUB-TOTAL = | \$2282.00 |
| | R | eduction by 1/2 for fil | ing by a small entity | |
| | TOTAL FILING FEE = | | | |

10. Fee Payment

[] Not Enclosed. NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.

This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

[X] Enclosed.

Checks in the amount of \$2282.00 and \$80.00 representing the basic filing fee of \$710.00, additional independent claims fee of \$960.00, additional claims fee of \$612.00 and an assignment recording fee of \$40.00 are enclosed.

11. **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3).

| 12. Additional papers enclose | 12. | enciosed | i papers | Additional | 12. |
|-------------------------------|-----|----------|----------|------------|-----|
|-------------------------------|-----|----------|----------|------------|-----|

- [] Preliminary Amendment
- [X] Information Disclosure Statement
- [X] Form PTO-1449, 6 documents included
- Declaration of Biological Deposit
- Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell Reg. No. 41,040

Dated: August 30, 2001

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